

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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|--------------|--|-------------|------------|
| Applicant : | Joseph A. Zupanick | Art Unit : | 3672 |
| Serial No. : | 10/811,118 | Examiner : | Frank Tsay |
| Filed : | March 25, 2004 | Conf. No. : | 9210 |
| Title : | SYSTEM AND METHOD FOR DIRECTIONAL DRILLING UTILIZING CLUTCH ASSEMBLY | | |

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

REQUEST FOR INITIALED PTO FORM 1449

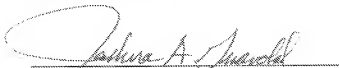
The Notice of Non-Compliant Information Disclosure Statement mailed December 12, 2006 indicates the Information Disclosure Statement filed November 21, 2006 lacked a statement as specified in 37 CFR 1.97(e). Applicant's records show that this Information Disclosure Statement did include a statement in accordance with 37 CFR 1.97(e). A copy of the Information Disclosure Statement and PTO Form 1449 are included with this Request.

In view of the above, Applicant respectfully requests that the Examiner initial and return the PTO Form 1449 form as soon as possible. Note, Applicant is paying the issue fee which is due by December 21, 2006, concurrently herewith.

Applicant : Joseph A. Zupantick
Serial No. : 10/811,118
Filed : March 25, 2004
Page : 2 of 2

Attorney's Docket No.: 17601-063001 / 067083 0300

Respectfully submitted,

A handwritten signature in dark ink, appearing to read "Joshua A. Griswold", is written over a horizontal line.

Joshua A. Griswold
Reg. No. 46,310

Date: December 21, 2006

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Title : SYSTEM AND METHOD FOR DIRECTIONAL DRILLING UTILIZING
CLUTCH ASSEMBLY

Art Unit : 3672
Examiner : Frank Tsay
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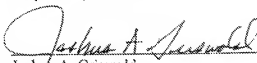
INFORMATION DISCLOSURE STATEMENT

Applicant requests consideration of the reference listed on the attached PTO-1449 form. A copy of a communication from a foreign patent office in a counterpart application is enclosed.

This statement is being filed after a final Office action or a Notice of Allowance, but before payment of the issue fee. The item of information in this statement was first cited in any communication from a foreign patent office in a counterpart foreign application, the communication being dated October 5, 2005, which is not more than three months prior to the filing of this statement. The Commissioner is hereby authorized to charge the amount of \$180 in payment of the late submission fee of § 1.17(p), and any other deficiencies or required fees or any credits to deposit account 06-1050, referencing the attorney docket number shown above.

Respectfully submitted,

Date: 11/21/06


Joshua A. Griswold
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Suite 5000
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Facsimile: (214) 747-2091

| | | | |
|--|--|---------------------------------------|-------------------------------|
| Substitute Form PTO-1449 (Modified) | U.S. Department of Commerce Patent and Trademark Office | Attorney's Docket No. 17601-063001 | Application No. 10/811,118 |
| Information Disclosure Statement by Applicant (Use several sheets if necessary); | | Applicant Joseph A. Zupanick | |
| | | Filing Date March 25, 2004 | Group Art Unit 3672 |

(37 CFR §1.66(b))

| U.S. Patent Documents | | | | | | | |
|-----------------------|-----------|-----------------|------------------|----------|-------|----------|----------------------------|
| Examiner Initial | Desig. ID | Document Number | Publication Date | Patentee | Class | Subclass | Filing Date If Appropriate |
| | A1 | | | | | | |
| | A2 | | | | | | |
| | A3 | | | | | | |
| | A4 | | | | | | |
| | A5 | | | | | | |
| | A6 | | | | | | |
| | A7 | | | | | | |
| | A8 | | | | | | |
| | A9 | | | | | | |
| | A10 | | | | | | |
| | A11 | | | | | | |

| Foreign Patent Documents or Published Foreign Patent Applications | | | | | | | | |
|---|----------|-----------------|------------------|--------------------------|-------|----------|-------------|----|
| Examiner Initial | Desig ID | Document Number | Publication Date | Country or Patent Office | Class | Subclass | Translation | |
| | | | | | | | Yes | No |
| | B1 | | | | | | | |
| | B2 | | | | | | | |
| | B3 | | | | | | | |
| | B4 | | | | | | | |
| | B5 | | | | | | | |

| Other Documents (include Author, Title, Date, and Place of Publication) | | |
|---|-----------|--|
| Examiner Initial | Desig. ID | Document |
| | C1 | Notification Concerning Transmittal of Copy of International Preliminary Report on Patentability (1 page), International Preliminary Report on Patentability (1 page), and Written Opinion of the International Searching Authority (5 pages) for International Application No. PCT/US2005/008774 mailed October 5, 2006 |
| | C2 | |
| | C3 | |
| | C4 | |

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|--|-----------------|
| Examiner Signature | Date Considered |
| EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. | |

Electronic Patent Application Fee Transmittal

| | | | | |
|--------------------------------------|--|----------|--------|----------------------|
| Application Number: | 10611118 | | | |
| Filing Date: | 25-Mar-2004 | | | |
| Title of Invention: | SYSTEM AND METHOD FOR DIRECTIONAL DRILLING UTILIZING CLUTCH ASSEMBLY | | | |
| First Named Inventor/Applicant Name: | Joseph A. Zupanick | | | |
| Filer: | Joshua A. Griswold/Pat Bradford | | | |
| Attorney Docket Number: | 067083.0300 | | | |
| Filed as Large Entity | | | | |
| Utility Filing Fees | | | | |
| Description | Fee Code | Quantity | Amount | Sub-Total in USD(\$) |
| Basic Filing: | | | | |
| Pages: | | | | |
| Claims: | | | | |
| Miscellaneous-Filing: | | | | |
| Petition: | | | | |
| Patent-Appeals-and-Interference: | | | | |
| Post-Allowance-and-Post-Issuance: | | | | |
| Extension-of-Time: | | | | |

| Description | Fee Code | Quantity | Amount | Sub-Total in USD(\$) |
|--|----------|----------|--------|----------------------|
| Miscellaneous: | | | | |
| Submission - Information Disclosure Stmt | 1806 | 1 | 180 | 180 |
| Total in USD (\$) | | | | 180 |

COPY

Electronic Acknowledgement Receipt

| | |
|--------------------------------------|---|
| EFS ID: | 1326824 |
| Application Number: | 10811118 |
| International Application Number: | |
| Confirmation Number: | 9210 |
| Title of Invention: | COPY SYSTEM AND METHOD FOR DIRECTIONAL DRILLING UTILIZING CLUTCH ASSEMBLY |
| First Named Inventor/Applicant Name: | Joseph A. Zupanick |
| Customer Number: | 26231 |
| Filer: | Joshua A. Griswold/Susan Williams |
| Filer Authorized By: | Joshua A. Griswold |
| Attorney Docket Number: | 067083.0300 |
| Receipt Date: | 21-NOV-2006 |
| Filing Date: | 25-MAR-2004 |
| Time Stamp: | 16:03:22 |
| Application Type: | Utility |

Payment information:

| | |
|--|--------|
| Submitted with Payment | yes |
| Payment was successfully received in RAM | \$ 180 |
| RAM confirmation Number | 251 |
| Deposit Account | 061050 |

File Listing:

| Document Number | Document Description | File Name | File Size(Bytes) | Multi Part / .zip | Pages (if appl.) |
|-----------------|----------------------|-----------|------------------|-------------------|------------------|
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|--|--|----------------------------|---------|----|---|
| 1 | Information Disclosure Statement (IDS) Filed | 17601063001ids.pdf | 657431 | no | 2 |
| Warnings: | | | | | |
| Information: | | | | | |
| This is not an USPTO supplied IDS fillable form | | | | | |
| 2 | NPL Documents | 17601063001npldocument.pdf | 2177455 | no | 7 |
| Warnings: | | | | | |
| Information: | | | | | |
| 3 | Fee Worksheet (PTO-875) | fee-info.pdf | 6216 | no | 2 |
| Warnings: | | | | | |
| Information: | | | | | |
| Total Files Size (in bytes): | | | 2843102 | | |
| <p>This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.</p> <p><u>New Applications Under 35 U.S.C. 111</u> If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.</p> <p><u>National Stage of an International Application under 35 U.S.C. 371</u> If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.</p> | | | | | |